

## United States Patent and Trademark Office

Commissioner for Patents United States Patent and Trademark Office Washington, D.C. 2023 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/041,906

Phoenix, AZ 85004-4498

10/18/2001

François-Xavier Nuttall

46030.00030

SQUIRE, SANDERS & DEMPSEY L.L.P. Two Renaissance Square Suite 2700 40 North Central Avenue



CONFIRMATION NO. 5561
FORMALITIES LETTER
\*OC000000007470997\*

Date Mailed: 02/13/2002

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/19/2002 SSESHE1 00000076 10041906

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130.00 OP

A copy of this notice MUST be returned with the reply.

TOUTION OFFICE

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

mp/# &

# ECERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

18 2002 E.I., Joyce D. Brown, hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on:

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Nuttall, et al.

Docket No.:

46030.00030

Application No.:

10/041,906

Filing Date:

October 18, 2001

TITLE

SYSTEM AND METHODS PROVIDING SECURE DELIVERY OF

LICENSES AND CONTENT

# TRANSMITTAL OF MISSING PARTS OF NONPROVISIONAL APPLICATION

Box Missing Parts Commissioner for Patents Washington, DC 20231

#### Commissioner:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on February 13, 2002 in the above-identified application, enclosed herewith are the missing parts needed to complete filing of the subject patent application.

# 1. <u>Enclosures:</u>

- [x] Notice of Missing Parts (copy)
- [x] Declaration and Oath
- [x] Formal drawing Figures 1-26I (31 sheets)
- [x] Check for fees
- [x] Copy of this letter for charging any deficiency to deposit account

# 2. Manner of Payment:

- Please charge Deposit Account No. 19-3878 in that amount of \$\_\_\_\_\_. A duplicate copy of this sheet is attached.
- [x] A Squire, Sanders & Dempsey L.L.P. check in the amount of \$130.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper

filed hereafter, and which may be required under 37 C.F.R.§§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-3878 for which purpose a duplicate copy of this sheet is attached.

The Patent and Trademark Office is respectfully requested to forward the application for examination.

Respectfully submitted,

William R. Bachand

Reg. No. 34,980

SQUIRE, SANDERS & DEMPSEY L.L.P. Two Renaissance Square 40 North Central Avenue, Suite 2700 Phoenix, Arizona 85004-4424 (602) 528-4100

189719



# CERTIFICATE OF MAILING PURSUANT TO 37 C.F.R. §1.8

I, Joyce D. Brown, hereby certify that this correspondence, pursuant to 37 C.F.R. §1.8, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on:

Date: April 10, 2002

*b*, *f g s s* 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mcant(s):

Nuttall, et al

Docket No.:

46030.00030

Application No.:

10/041,906

Group Art Unit:

2161

Filing Date:

October 18, 2001

Examiner:

Not Yet Assigned

**TITLE** 

SYSTEM AND METHODS PROVIDING SECURE DELIVERY OF

LICENSES AND CONTENT

### TRANSMITTAL OF PRELIMINARY AMENDMENT

RECEIVED

Commissioner for Patents Washington, D.C. 20231

APR 2 5 2002

**Technology Center 2100** 

Commissioner:

Herewith is a Preliminary Amendment in the above-identified application.

#### Enclosures:

[X] Preliminary Amendment

[X] Formal Drawing (Figures 1-26I – 31 sheets)

[X] Assignment and Cover Sheet

# FEE CALCULATION, CLAIMS AS AMENDED:

	Claims remaining		Highest number		Present Extra				Additional Fee
	after amendment		previously paid for						
Total Effective Claims	84	minus	20	=	64	X	\$ 18.00	=	\$1,152.00
Independent Claims	9	minus	3	=	6	х	\$ 84.00		\$504.00
							Subtotal:		\$1,656,00

Assignment Recording Fee

\$ 40.00

If one of the "small entity" boxes above is checked, enter half (1/2)

of the second subtotal and subtract.

\$.00

TOTAL ADDITIONAL FEE ENCLOSED:

\$1,696.00

- Please charge Deposit Account No. 19-3878 in the amount of \$\_\_\_\_\_\_A duplicate copy of this sheet is attached.
- [X] A Squire, Sanders & Dempsey L.L.P. in the amount of \$1,696.00 is attached.

This statement does NOT authorize charge of the issue fee. The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under 37 C.F.R.§§1.16-1.18 (deficiency only) now or hereafter relative to this Application and the resulting Official document under 37 C.F.R. §1.20, or credit any overpayment to Account No. 19-3878 for which purpose a duplicate copy of this sheet is attached.

Respectfully submitted,

William R. Rachand

Registration No. 34,980

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